

State of New York  
Joint Commission on Public Ethics  
540 Broadway  
Albany, New York 12207

**Annual Statement of Financial Disclosure: For Calendar Year 2013**

1. NAME (Last) *Milstein* (First) *Howard* (MI) *P*
2. (a) Title of Position *Chairman*  
(b) Department, Agency or Other Governmental Entity *New York State Thruway Authority*  
(c) Address of Present Office *200 Southern Boulevard, Albany, NY 12209*  
(d) Office Telephone Number

3. a. Marital Status:    
(If married, please give spouse's full name including maiden name where applicable.)
- b. List the names of all unemancipated children.

Answer each of the following questions completely, with respect to calendar year 2013, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories in Table I or Table II of this subdivision as called for in the question: A reporting individual shall indicate the Category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending the December 31st preceding the date of filing of the annual statement.

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

NONE

POSITION	ORGANIZATION	STATE OR LOCAL AGENCY
<p>See Exhibit A attached for Howard P. Milstein</p>		

- (b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

NONE

POSITION	ORGANIZATION	STATE OR LOCAL AGENCY
<p>See Exhibit A attached for Abigail S. Milstein</p>		



5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

NONE

POSITION	NAME & ADDRESS OF ORGANIZATION	DESCRIPTION	STATE OR LOCAL AGENCY
See Exhibit B attached			

(b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

NONE

POSITION	NAME & ADDRESS OF ORGANIZATION	DESCRIPTION	STATE OR LOCAL AGENCY
Founding partner:	Constantine Cannon LLP 335 Madison Ave NY NY 10017	NY/Washington Law Firm	

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

NONE

SELF, SPOUSE OR CHILD	ENTITY WHICH HELD INTEREST IN CONTRACT	RELATIONSHIP TO ENTITY AND INTEREST IN CONTRACT	CONTRACTING STATE OR LOCAL AGENCY	CATEGORY OF VALUE OF CONTRACT (IN TABLE II)
<p><i>See Exhibit B attached</i></p>				

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

NONE



8. (a) If the reporting individual practices law, is licensed by the department of state as a real estate broker or agent or practices a profession licensed by the department of education, or works as a member or employee of a firm required to register pursuant to section one-e of the legislative law as a lobbyist, give a general description of the principal subject areas of matters undertaken by such individual. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation.

NONE

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*See I am an admitted lawyer in NY. I also maintain a real estate  
broker's license under Milford Management Corp and Milstein Brothers Real Estate LLC  
(d/b/a MB Real Estate)*

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(b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE:

If the reporting individual personally provides services to any person or entity, or works as a member or employee of a partnership or corporation that provides such services (referred to hereinafter as a "firm"), then identify each client or customer to whom the reporting individual personally provided services, or who was referred to the firm by the reporting individual, and from whom the reporting individual or his or her firm earned fees in excess of \$10,000 during the reporting period for such services rendered in direct connection with:

- (i) A proposed bill or resolution in the senate or assembly during the reporting period;
- (ii) A contract in an amount totaling \$50,000 or more from the state or any state agency for services, materials, or property;
- (iii) A grant of \$25,000 or more from the state or any state agency during the reporting period;
- (iv) A grant obtained through a legislative initiative during the reporting period; or
- (v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period. For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or knowingly solicit or direct to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in subparagraphs (i) through (v) of this paragraph, as the result of such procurement,

solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

The disclosure requirement in this question shall not require disclosure of clients or customers receiving medical or dental services, mental health services, residential real estate brokering services, or insurance brokering services from the reporting individual or his or her firm. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, or domestic relations matters. With respect to clients represented in other matters, where disclosure of a client's identity is likely to cause harm, the reporting individual shall request an exemption from the joint commission pursuant to paragraph (i) of subdivision nine of section ninety-four of the executive law. Only a reporting individual who first enters public office after July first, two thousand twelve, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

NONE

CLIENT	NATURE OF SERVICES PROVIDED

(c) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

NONE

See Exhibit B



9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

NONE

SELF, SPOUSE OR CHILD	NAME OF DONOR	ADDRESS	NATURE OF GIFT	CATEGORY OF VALUE OF GIFT (IN TABLE I)

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursements" does NOT include gifts reported under item 9.

NONE

SOURCE	DESCRIPTION

11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the state of New York or the city of New York), and deferred compensation plans (e.g., 401, 403(b), 457, etc.) established in accordance with the internal revenue code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

NONE

IDENTITY	CATEGORY OF VALUE* (IN TABLE II)
<i>Emigrant Savings Bank Plans</i>	

\* The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

NONE

<i>See Exhibits B and C for all New York Private Bank and Trust and all Milstein Family Real Estate and Other Entities</i>
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(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

NONE

*Emigrant Savings Bank*

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

NONE

SELF/SPOUSE	SP/SPOUSE	CHILDREN	OTHER
<i>See Exhibit C attached</i>			

14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

NONE

SOURCE	CATEGORY OF AMOUNT (IN TABLE I)
See Exhibit B	

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

NONE

ITEM ASSIGNED OR TRANSFERRED	ASSIGNED OR TRANSFERRED TO	CATEGORY OF AMOUNT (IN TABLE I)



16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in item 8 (a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

NONE

SELF/SPOUSE	ISSUING ENTITY	TYPE OF SECURITY	PERCENTAGE OWNED
See Exhibit D attached			



17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than fifty percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

NONE

SELF/SPOUSE/ CORPORATION	LOCATION SIZE	GENERAL NATURE	ACQUISITION DATE	PERCENTAGE OF OWNERSHIP	CATEGORY OF MARKET VALUE (IN TABLE II)
<i>See Exhibit B attached</i>					

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

NONE

NAME OF DEBTOR	TYPE OF OBLIGATION	DATE DUE	NATURE OF COLLATERAL (IF ANY)



19. List below all liabilities of the reporting individual and such individual's spouse, in EXCESS of \$10,000 as of the date of filing of this statement, other than liabilities to a relative. Do NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

NONE

NAME OF CREDITOR OR GUARANTOR	TYPE OF LIABILITY AND COLLATERAL, IF ANY	CATEGORY OF AMOUNT (IN TABLE II)

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

  
 \_\_\_\_\_  
 (Signature of Reporting Individual)

8/8/14  
 \_\_\_\_\_  
 Date (month/day/year)

**EXHIBIT A**

Appendix to the: Office of the Governor of the State of New York  
Annual Statement of Financial Disclosure

Prepared: May 11, 2012

Recertified: August 6, 2014

Attached hereto is the following:

Current Curriculum Vitae for Howard P. Milstein

- 6) Howard Milstein is a member of various limited liability companies, which holds interests in entities that acted as sponsor for four completed projects leased to various partnerships by the Battery Park City Authority on October 25, 1984 (sites B, J and K and on March 24, 1986 for site 4). These buildings have been completed and converted to condominium ownership. Various units within each of these four condominiums are retained by sponsor and Mr. Milstein has an interest in each of the sponsor entities. Additionally, on October 31, 2006 as amended and restated on November 15, 2007, two additional sites at Battery Park City (sites 23 and 24) were leased to limited liability companies in which Mr. Milstein has an interest. Construction of these two buildings were completed by October 2011. In all of the foregoing cases, Mr. Milstein's interest would qualify under ~~§ 87(2)(b)~~ (00000) in Table I
  
- 8) Attachment to 8(b). See attachment marked Exhibit B annexed hereto.



# Howard Milstein

Howard P. Milstein is Chairman, President and Chief Executive Officer of New York Private Bank & Trust and its operating bank, Emigrant Bank (the country's largest privately owned, family-run bank). He also chairs and operates the Milstein family's real estate companies, including Milstein Properties, Milford Management and the Milford Agency. Howard is founding Chairman of the merchant bank FriedbergMilstein.

Howard's broad interests are manifest in numerous successful entrepreneurial businesses across a range of sectors: real estate, banking, hotels, marketing, finance, technology and other ventures.

Howard provides significant, hands-on leadership and commitment to a range of communal, educational and civic organizations. He is particularly active in the fields of medical research and healthcare delivery and also dedicates time and significant support to law enforcement/counter-terrorism programs, higher education, disaster relief, the arts and communal giving.

Howard serves as the Chairman of the Board of the New York Blood Center, American Skin Association and the Howard and Georgeanna Jones Foundation for Reproductive Medicine. He also serves on the Board of the Nicklaus Children's Health Care Foundation. In 2011, the Milstein Medical Asian American Partnership Foundation (MMAAP Foundation) was created by Howard and Abby Milstein and the Paul Milstein Family to contribute to world health by developing mutually beneficial partnerships between the United States and China, as well as greater Asia.

He is on the Board of the Weill Cornell Medical College. At Cornell University, Howard is Trustee and Presidential Counselor and Overseer, Cornell Medical College. He serves on the Executive Committee of the Dean's Advisory Board of Harvard Law School. Howard serves on the Boards of the Shakespeare Theatre in Washington, D.C., and the National September 11 Memorial & Museum. In 2011, Howard was selected by Governor Andrew M. Cuomo to serve as the Chairman of the New York State Thruway Authority. The New York State Senate unanimously confirmed Howard's appointment in June 2011.



Howard has served as a member of the Board of the Economic Club of New York and is a member of the Executive Committee of the Real Estate Board of New York. He is a member of the Real Estate Forum of the Columbia University Business School and the Real Estate Roundtable, and serves on the Columbia M.B.A. Real Estate Program Advisory Board.

In April 2014, Howard received the Insignia of Chevalier in France's Legion of Honor in recognition of his personal commitment to French-American cooperation and friendship, and fostering the French-American partnership in cultural and economic areas. Howard received the Regional Plan Association's Lifetime Achievement Award in 2013 to recognize his management of the procurement process for the replacement of the Tappan Zee Bridge. In 2008, Howard was honored as the Entrepreneur of the Year by Cornell University.

Other honors include the Community Builder Award from Phipps Houses in 2012 and the Humanitarian Award from the American Skin Association in 2010. In addition, Howard was recognized with the JASA Man of the Year Award, Make a Wish Foundation Award, ORT Community Builder Award, Tanenbaum Center Corporate Bridge Builder Award, awards from the Jewish National Fund and B'nai B'rith Youth Services, the Catholic Youth Organization Gold Medal Award, Emerald Isle Immigration Society Robert Briscoe Award, Federal Law Enforcement Foundation Humanitarian Award, and Greater Harlem Chamber of Commerce Community Service Award. In March 2012, Howard was sworn in as an honorary FDNY Battalion Chief, in recognition of his significant support for the Fire Department and the people of New York.

A graduate of Cornell University, Howard earned a B.A. in Economics *summa cum laude* (1973). At Harvard University, he earned both his Law and Business degrees in the JD/MBA Program (1977). He was admitted to the New York State Bar, and is a member of the Federal Bar Council and the American, New York State and New York City Bar Associations.



Exhibit B

Entity Name	Item 4 (3c): Title and Position	Item 4 (4c): > 25% Ownership or other means of control
<b>Howard P. Milstein</b>		
140 Liberty Street Associates LLC	PMF Properties LLC is the manager.	Milstein Family Holdings, LLC owns 100% of the interests.
200/210 East 65 LLC	PMF Properties LLC is the manager.	Milro Associates owns 100% and PM Partners owns 66.67% of Milro Associates
335 Madison Avenue LLC	PMF Properties LLC is the manager.	Builtland LLC owns 100% of the interests and PM Partners owns 100% of the interest of Builtland LLC.
336 East 71 LLC	PMF Properties LLC is the manager.	Milro Associates owns 100% and PM Partners owns 66.67% of Milro Associates
Achilles Group LLC	Howard Milstein is a manager	PMF Properties LLC- 99%, Builtland, LLC 1%
Alkemy, Ltd. (Bermuda)	None	MB Alkemy, LLC- 33.46%
Battery Place Associates LLC	Howard Milstein is a manager	PM Partners- 100%
Birchall Associates	None	Howard Milstein- 25%, Edward Milstein- 25%
Blue Apple Properties, LLC	None	Niagara Falls Redevelopment, LLC- 100%
Bradley LLC	Howard Milstein is a manager	PM Partners- 100%
Builtgross Associates LLC	Howard Milstein is a manager	PM Partners- 100%
Builtland LLC	Howard Milstein is a manager	PM Partners- 100%
CAAS, LLC	Howard Milstein is the manager.	Howard Milstein- 50%, Edward Milstein- 50%
CAAS Legal Staffing, LLC	Howard Milstein is the manager.	CAAS, LLC- 90%, Howard Milstein- 5%, Edward Milstein- 5%
CAAS Legal Staffing DC, LLC	Howard Milstein is the manager.	CAAS Legal Staffing, LLC- 99%, CAAS, LLC- 1%.
Century Tower Associates NY, LLC	None	Milstein Olmick Holdings, LLC- 100% Class A interest, 0.1% Class B interest
CH Milstein LLC	Howard Milstein is the manager.	Howard Milstein- 25%, Edward Milstein- 25%
Claridge House LLC	PMF Properties LLC is the manager.	Builtland LLC owns 100% of the interests and PM Partners owns 100% of the interest of Builtland LLC.
Clarksville Land Company, LLC	None	Niagara Falls Redevelopment, LLC- 100%
Compass Rose Productions LLC	Howard Milstein is a manager	HMMCR LLC - 50%
Courtney Associates NY, LLC	PMF Properties is the manager via Bradley, LLC.	Milstein Olmick Holdings, LLC- 100% Class A interest, 0.1% Class B interest, Bradley LLC- 99.9% Class B interest
Courtney House, LLC	PMF Properties LLC is the manager	Independence Associates NY, LLC - 25%; Courtney Associates NY, LLC - 75%
DE Commercial, Inc.	Howard Milstein and Edward Milstein are Co-Chairmen, Howard Milstein is President	PIM Holding Co. - 33.33%, Howard Milstein- 33.33%, Edward Milstein- 33.33%
DE Funding Corp.	Howard Milstein and Edward Milstein are Co-Chairmen	Howard Milstein- 50%, Edward Milstein- 50%



Entity Name	Item 4 (3c): Title and Position	Item 4 (4c): > 25% Ownership or other means of control
<b>Howard P. Milstein</b>		
Distinguished Properties Risk Management Corp.	Howard Milstein and Edward Milstein are Co-Chairmen	PIM Holding Co. - 33.33%, Howard Milstein- 33.33%, Edward Milstein- 33.33%
EB Trust Pfd. Holding LLC	Howard Milstein is the manager.	PIM Holding Co.- 33.33%, Howard Milstein- 16.66%, Edward Milstein- 16.66%
eHigher Education.com, Inc.	None	MBCP eHigher Education, LLC - 35%
Eleventh Street Properties, LLC	None	Niagara Falls Redevelopment, LLC - 100%
ELM Imports LLC	Edward Milstein is the manager.	Howard Milstein- 13.2%, Edward Milstein- 13.2%
Enforsys Inc.	None	MB Enforsys, LLC- 94%
Enforsys Fire Systems Inc.	None	Enforsys Inc.- 100%
Eureka Corp.	None	Howard Milstein- 50%, Edward Milstein- 50%
Eureka III LLC	Howard Milstein is the manager.	Howard Milstein- 50%, Edward Milstein- 50%
Eureka L.P.	None	Howard Milstein- 23.8%, Edward Milstein- 23.8%
Eureka V LLC	Howard Milstein is the manager.	DE Funding Corp.- 93.334%
Eureka VIII, LLC	Howard Milstein is the manager.	Howard Milstein- 52.27%, Edward Milstein- 47.13%
Fallsite, LLC	None	Niagara Falls Redevelopment, LLC- 50%
Fallsville Splash LLC	None	Fallsite, LLC- 50%
FAM LLC	None	First Avenue Milstein Associates - 67%
First Avenue Milstein Associates	Partner	Howard Milstein - 10%
FM Equity Investors, LLC	Howard Milstein is a manager	Edward Milstein - 10%
Friedberg Milstein LLC	Howard Milstein is the manager.	Howard Milstein - 47.62%
Grand Central Technology Accelerator LLC	Howard Milstein is the manager.	Milstein-Friedberg Holdings, LLC- 60%
GSP Recovery Fund, LLC	None	M3T LLC - 60%
Highgate LLC	Howard Milstein is the manager.	PMF Master Reserve, LLC - 100%
HMM Investors LLC	None	PM Partners - 100%
HMM LifeOnKey, LLC	Howard Milstein is the manager.	Howard Milstein- 90%
HMM Ventures LLC	Howard Milstein is the manager.	Howard Milstein- 70%
HMMCR LLC	Howard Milstein is the manager.	Howard Milstein - 50%
HMM Trust Company, LLC	Howard Milstein is the manager.	Howard Milstein - 70%
HPM Centerline II LLC	Howard Milstein is President	Howard Milstein - 90%; Michael M. Milstein 2012 Trust No. 2 - 10%
HPM Centerline LLC	Howard Milstein is the manager.	HPM Centerline, LLC- 51.25%
HPM C3 Recovery Fund LLC	Howard Milstein is the manager.	PM C3 Investors LLC- 100%
HPM Display Partners LLC	Howard Milstein is the manager.	PM C3 Investors LLC- 100%
HPM Display Partners LLC	Howard Milstein is the manager.	PMGC Investors LLC - 99%; Howard Milstein 1%



Entity Name	Item 4 (3c): Title and Position	Item 4 (4c): > 25% Ownership or other means of control
Howard P. Milstein		
I-93 Somerville Associates Limited Partnership	None	I-93 Somerville Associates, LLC - 49%; Timko Development Corp. - 1%
I-93 Somerville Associates LLC	PMF Properties LLC is the manager.	Milstein Family Holdings, LLC - 100%
I-93 Somerville LLC	PMF Properties LLC is the manager.	I-93 Somerville Associates Limited Partnership - 100%
Independence Associates NY, LLC	PMF Properties is the manager via Bradley, LLC.	Milstein Olnick Holdings, LLC - 100% Class A interest, 0.1% Class B interest, Bradley LLC - 99.9% Class B interest
Lake Tower Equity Partners LLC	Howard Milstein is the manager.	MB Lake Tower LLC - 100% Class A interest
LBI Capital, LLC	Howard Milstein is a manager.	PMF Master Reserve, LLC - 100%
LCC, Inc.	None	PIM Holding Co. - 33.33%, Howard Milstein - 16.66%, Edward Milstein - 16.66%
LCC, L.L.C.	None	SHAA Associates, LLC - 49.75%, LCC, Inc. - 0.25%
Letoh Associates LLC	Howard Milstein is a manager.	PM Partners - 100%
Lincoln Cinema Associates	None	Letoh Associates, LLC - 36.4%
Lincoln Plaza Associates South LLC	Howard Milstein is a manager.	PM Partners - 100%
M3T Holdings LLC	None	PM Partners - 60%
M Nectar & Vine LLC	Howard Milstein is a manager.	TBD
MB Alkemy, LLC	Howard Milstein is the manager.	Howard Milstein - 40%, Edward Milstein - 40%
MB Azimuth LLC	Howard Milstein is the manager.	Howard Milstein - 50%, Edward Milstein - 50%
MB Enforsys, LLC	Howard Milstein and Edward Milstein are the managers.	Howard Milstein - 42.75%, Edward Milstein - 42.75%
MB Goldman LLC	Howard Milstein is the manager.	Howard Milstein - 50%, Edward Milstein - 50%
MB IGY LLC	Howard Milstein and Edward Milstein are the managers.	Howard Milstein - 50%, Edward Milstein - 50%
MB Lake Tower LLC	Howard Milstein is the manager.	Howard Milstein - 50%, Edward Milstein - 50%
MB Lexpo LLC	None	PIM Holding Co. - 90%, Howard Milstein - 10%
MB Ohana LLC	Howard Milstein and Edward Milstein are the managers.	Howard Milstein - 50%, Edward Milstein - 50%
MB Ohana Ltd. (United Kingdom)	None	MB Ohana LLC - 100%
MB Real Estate Consultants, LLC	None	MB Real Estate Services LLC - 100%
MB Real Estate Georgia LLC	Howard Milstein is the manager.	Milstein Brothers Real Estate LLC - 49%
MB Real Estate Ohio LLC	Howard Milstein is the manager.	Milstein Brothers Real Estate LLC - 49%
MB Real Estate Potomac LLC	Howard Milstein is the manager.	Milstein Brothers Real Estate LLC - 49%
MB Real Estate Services LLC	Howard Milstein is the manager.	Howard Milstein - 50%, Edward Milstein - 50%
MBCP Aviation LLC	Howard Milstein is the manager.	Howard Milstein - 50%, Edward Milstein - 50%
MBCP eHigher LLC	Howard Milstein is the manager.	Howard Milstein - 46.1454%, Edward Milstein - 46.1454%



Entity Name	Item 4 (3c): Title and Position	Item 4 (4c): > 25% Ownership or other means of control
<b>Howard P. Milstein</b>		
MBCP Distinguished LLC	None	HMM Investors, LLC - 26.6667%
MBCP Fund Investors LLC	Howard Milstein is the manager.	Howard Milstein- 16.67%, Edward Milstein- 16.67%
Mariner's Cove Site B Associates	None	Rector Park Associates LLC- 40%
Mariner's Cove Site J Associates	None	Rector Park Associates LLC- 40%
Mariner's Cove Site K Associates	None	Rector Park Associates LLC- 40%
Michael M. Milstein 2012 Trust No 2	Howard Milstein is a trustee	N/A
Milford Consultants Ltd.	Chairman & President	Howard Milstein- 33.33%, Edward Milstein- 33.33%
Milford Investment Company	None	PIM Holding Co.- 33.33%, Howard Milstein- 16.66%, Edward Milstein- 16.66%
Milford Management Corp.	Chairman & President	PIM Holding Co.- 33.33%, Howard Milstein- 16.66%, Edward Milstein- 16.66%
Milro Associates	Howard Milstein is a manager	PM Partners- 66.667%
Milro Management Inc.	None	Howard Milstein- 33.33%, Edward Milstein- 33.33%
Milstein Brothers Capital Advisors LLC	Howard Milstein is the manager.	Howard Milstein- 50%, Edward Milstein- 50%
Milstein Brothers Capital Partners LLC	Howard Milstein is the manager.	Howard Milstein- 50%, Edward Milstein- 50%
Milstein Brothers Real Estate LLC (a/k/a MB Real Estate LLC)	Howard Milstein is the manager.	Howard Milstein- 50%, Edward Milstein- 50%
Milstein China, LLC	Orient Holdings LLC is the Manager	Orient Holdings, LLC - 100%
Milstein Family Holdings, LLC	PMF Properties LLC is the manager.	PM Partners- 51%
Milstein Friedberg Holdings LLC	Howard Milstein is the manager.	Howard Milstein- 66.6667%, Edward Milstein- 33.3333%
Milstein Lakeview Investment Manager, LLC	None	Howard Milstein - 100%
Milstein Medical Asian American Partnership Foundation, Inc.	Howard Milstein is the President	Non-Profit
Milstein Ohnick Holdings, LLC	Bradley LLC is a manager.	Bradley, LLC- 45.925%
Milstein Orient, LLC	Orient Holdings LLC is the Manager	Orient Holdings, LLC - 100%
Milstein Properties Corp.	None	PIM Holding Co.- 33.33%, Howard Milstein- 16.66%, Edward Milstein- 16.66%
Milstein Ventures LLC	Howard Milstein is the manager.	Milford Investment Company- 100%
MP Freedom LLC	Howard Milstein is the manager.	140 Liberty Street Associates, LLC- 100%
MP Liberty Development LLC	Howard Milstein is the manager.	PM Partners- 99%, PMF Master Reserve, LLC- 1%
MP Liberty LLC	Howard Milstein is the manager.	MP Liberty Development LLC- 100%
MV Aviation Finance LLC	Howard Milstein is the manager.	PIM Holding Co.- 33.3334%, Howard Milstein- 16.6667%, Edward Milstein- 16.6667%
New York Sports Ventures, LLC	None	NYICE Partners- 45%
NFR Gateway LLC	None	Niagara Falls Redevelopment LLC- 100%



Entity Name	Item 4 (3c): Title and Position	Item 4 (4c): > 25% Ownership or other means of control
<b>Howard P. Milstein</b>		
Niagara Falls Aviation LLC	None	Niagara Falls Redevelopment LLC- 100%
Niagara Falls Convention Center, LLC	None	Niagara Falls Redevelopment LLC- 100%
Niagara Falls Redevelopment LLC	None	Eureka VIII, LLC- 83%
Nicklaus Companies LLC	Howard Milstein is a director.	
NYIce Partners	None	Howard Milstein- 50%, Edward Milstein- 50%
Oasis Health Club, LLC	Howard Milstein is the manager.	S&P Associates of New York, LLC- 100%
Old Milford Consultants Corp.	None	Howard Milstein - 16.67%, Edward Milstein 16.67%
Old Milford Management Corp.	None	Howard Milstein - 16.67%, Edward Milstein 16.67%
	Howard Milstein is the manger	Howard Milstein - 33.34%
Orient Holdings, LLC	Howard Milstein and Edward Milstein are Vice Presidents	PMGC Investors, LLC - 66.66%
P&S 95th Street Associates LLC	Howard Milstein is a manager	PM Partners- 100%
Peck Slip Associates LLC	Howard Milstein is a manager	PM Partners- 100%
PIM Holding Co.	Howard Milstein is a trustee	Paul Milstein Revocable Trust I - 50%, Paul Milstein Revocable Trust II - 50%
Pinnacle Associates II NY, LLC	None	Milstein Olnick Holdings, LLC- 100% Class A interest, 0.1% Class B interest
PM C3 Investors, LLC	Howard Milstein is the manager.	PIM Holding Co. - 20%, Howard Milstein- 20%, Edward Milstein- 20%
PM C3-II Investors, LLC	Howard Milstein is the manager.	PM C3 Investors LLC- 100%
PM F8 Mindlight LLC	Howard Milstein is the manager.	PMGC Investors LLC - 95%; HMM Investors LLC - 5%
PM Partners	None	PIM Holding Co. - 39.49%, Howard Milstein- 15.62%, Edward Milstein- 15.62%
PM Partners Capital LLC	Howard Milstein is the manager.	PMF Master Reserve, LLC- 83%
PM Partners Capital Management LLC	Howard Milstein is the manager.	PM Partners- 80%
PM USRA Partners LLC	Howard Milstein is the manager.	PM Partners- 100%
PMF Reserve Holdings, LLC	Howard Milstein is the manager.	PM Partners- 100%
PMF Long View LLC	y.	PMF Master Reserve, LLC- 100%
PMF Master Reserve, LLC	Howard Milstein is the manager.	PIM Holding Co.- 33.3334%, Howard Milstein- 16.6666%, Edward Milstein- 16.6666%
PMF Properties LLC	Howard Milstein and Edward Milstein are the managers.	PIM Holding Co.- 25%, Howard Milstein- 25%, Edward Milstein- 25%
PMGC Arlington LLC	Howard Milstein is the manager.	PM Partners - 100%
PMGC Holdings LLC	Howard Milstein is the manager.	None
PMGC Investors LLC	Howard Milstein is the manager.	PMGC Holdings LLC - 100%
PMGC Kopetz LLC	Howard Milstein is the manager.	PMGC Investors LLC - 64.71%

Entity Name	Item 4 (3e): Title and Position	Item 4 (4c): > 25% Ownership or other means of control
Howard P. Milstein Rector Park Associates LLC	PMF Properties LLC is the manager.	PM Partners- 100%
Regency Associates NY, LLC Remoissenet Holdings Ltd. (Bermuda)	PMF Properties is the manager via Bradley, LLC. None	Milstein Olnick Holdings, LLC- 100% Class A interest, 0.1% Class B interest, Bradley LLC- 99.9% Class B interest Remoissenet LLC- 100%
Remoissenet LLC Remoissenet Millésimes SNC (France)	Howard Milstein is a manager None	Howard Milstein- 45.54%, Edward Milstein- 45.54% Remoissenet Holdings Ltd.- 99.9%
Remoissenet Père et Fils SCS (France) Remoissenet SNC (France)	None None	Remoissenet Holdings Ltd.- 89.6% Remoissenet Holdings Ltd.- 99.9%
Remoissenet Vignobles SCI (France)	None	Remoissenet SNC- 50%, Remoissenet Millésimes SNC- 49.9%
Riverview East Associates	Milford Management Corp. is the manager.	Bradley LLC- 44.67%
S&P Associates of New York LLC	PMF Properties LLC is the manager.	PM Partners- 100%
Seven Hanover Associates, LLC	PMF Properties LLC is the manager.	LCC, L.L.C.- 100%
SHAA Associates LLC	PMF Properties LLC is the manager.	PM Partners- 100%
Stonehenge Apartments, LLC	PMF Properties LLC is the manager.	Regency Associates NY, LLC- 50%, Independence Associates NY, LLC- 50%
Summitas LLC	Howard Milstein is the manager.	PIM Holding Co. - 67%
Tallboy Technology LLC	PMF Properties LLC is the manager.	PMF Properties LLC- 99%, Builtland LLC- 1%
TGA/Eureka III LLC	Howard Milstein is the manager.	Eureka III, LLC- 80%
The Milford Agency, Inc.	Howard Milstein Chairman	PIM Holding Co.- 33.3334%, Howard Milstein- 16.6666%, Edward Milstein- 16.6666%
The Sorting Table, LLC	Howard Milstein is a manager	ELM Imports LLC- 68%
THEM LLC	Howard Milstein is a manager	Howard Milstein - 50%, Edward Milstein - 50%
Timko Contracting, LLC	None	Howard Milstein - 50%, THEM LLC - 50%
USRA Net Lease Investors, LLC	None	PM Partners- 90%
Water/Pearl Associates, LLC	None	LCC, L.L.C.- 100%
Woodland Associates	Howard Milstein is a manager	Bradley LLC- 42%



Exhibit C

NEW YORK PRIVATE BANK & TRUST CORPORATION  
 ORGANIZATION CHART  
 AS OF DECEMBER 31, 2013

NAME	ADDRESS	STATE OF INCORPORATION	OWNERSHIP AND CONTROL RELATIONSHIPS
New York Private Bank & Trust Corporation	New York, NY	Delaware	
• Emigrant Bancorp, Inc.	New York, NY	Delaware	owned by New York Private Bank & Trust Corporation (100%)
• Emigrant Capital Trust I	New York, NY	Delaware	owned by Emigrant Bancorp, Inc. (100%)
• Emigrant Capital Trust II	New York, NY	Delaware	owned by Emigrant Bancorp, Inc. (100%)
• Emigrant Capital Trust III	New York, NY	Delaware	owned by Emigrant Bancorp, Inc. (100%)
• Emigrant Capital Trust IV	New York, NY	Delaware	owned by Emigrant Bancorp, Inc. (100%)
• Emigrant Capital Trust V	New York, NY	Delaware	owned by Emigrant Bancorp, Inc. (100%)
• Emigrant Bank	New York, NY	New York	owned by Emigrant Bancorp, Inc. (100%)
• Abacus Finance Group, L.L.C.	New York, NY	Delaware	owned by Emigrant Bank (100%), GP Managing Member
• APF Southeast LLC	Atlanta, GA	Delaware	owned by Emigrant Bank (100%), GP Managing Member
• APF California LLC	Eacino, CA	Delaware	owned by APF Southeast LLC (100%), GP Managing Member
• B/Q Retained Realty, L.L.C.	New York, NY	New York	owned by Emigrant Bank (100%), GP Managing Member
• B/W Retained Realty, LLC	New York, NY	New York	owned by Emigrant Bank (100%), GP Managing Member
• EB Cascade, LLC	New York, NY	Delaware	owned by Emigrant Bank (83.428%), GP Managing Member
• EB ECP Capital, LLC	New York, NY	Delaware	owned by Emigrant Bank (100%), GP Managing Member
• ECP Capital, LLC	New York, NY	Delaware	owned by EB ECP Capital, LLC (50%), GP Managing Member
• EB Ink Technologies, LLC	New York, NY	Delaware	owned by Emigrant Bank (86.0909%), GP Managing Member
• EB Insurance Partners, LLC	New York, NY	Delaware	owned by Emigrant Bank (100%), GP Managing Member
• Personal Risk Management Solutions, L.L.C.	New York, NY	Delaware	owned by EB Insurance Partners, LLC (82.5%), GP Managing Member
• EB Life Sciences Capital L.L.C.	New York, NY	Delaware	owned by Emigrant Bank (89%), GP Managing Member
• Life Sciences Capital LLC	New York, NY	Delaware	owned by EB Life Sciences Capital L.L.C. (25%), GP Managing Member
• EB Safe LLC	New York, NY	Delaware	owned by Emigrant Bank (86%), GP Managing Member
• Emigrant Agency, Inc.	New York, NY	New York	owned by Emigrant Bank (100%)
• Emigrant Alternative Investments LLC	New York, NY	New York	owned by Emigrant Bank (100%), GP Managing Member
• EAI Alternatives LLC	New York, NY	New York	owned by Emigrant Alternative Investments LLC (83.75%), GP Managing Member
• NYPB&T Capital, LLC	New York, NY	New York	owned by Emigrant Alternative Investments L.L.C. (100%), GP Managing Member
• NYPB&T Co-Investment Fund - HF1, LLC	New York, NY	Delaware	NYPB&T Capital, LLC is the Manager & General Partner
• NYPB&T Municipal Capital, L.L.C.	New York, NY	New York	owned by Emigrant Alternative Investments LLC (100%), GP Managing Member
• Emigrant Bank Fine Art Finance, LLC	New York, NY	Delaware	owned by Emigrant Bank (67.5%), GP Managing Member
• Fine Art Asset Management L.L.C.	New York, NY	Delaware	Fine Art Partners, L.L.C. (10%), LP Non-Managing Member
• Emigrant Capital Corp.	New York, NY	Delaware	owned by Emigrant Bank (100%), GP Managing Member
• Emigrant Credit Corp	New York, NY	New York	owned by Emigrant Bank (100%)
• Emigrant 1031 Direct Corp	Elmsford, NY	Delaware	owned by Emigrant Credit Corp. (100%)
• Emigrant Business Credit Corp	Elmsford, NY	Delaware	owned by Emigrant Credit Corp. (100%)
• KH Asset Corp.	Elmsford, NY	Delaware	owned by Emigrant Business Credit Corp. (100%)
• Emigrant Funding Corporation	New York, NY	New York	owned by Emigrant Credit Corp. (100%)
• Emigrant Mortgage Company, Inc.	Elmsford, NY	New York	owned by Emigrant Credit Corp. (100%)
• Emigrant GB Partners, LLC	New York, NY	Delaware	owned by Emigrant Bank (100%), GP Managing Member
• Emigrant GB LLC	New York, NY	Delaware	owned by Emigrant GB Partners, LLC (96.5515%), GP Managing Member
• Emigrant IS Company, L.L.C.	New York, NY	New York	Emigrant Bank (3.4485%), LP Non-Managing Member
• Emigrant Portfolio Management Company, LLC	New York, NY	New York	owned by Emigrant Bank (100%), GP Managing Member
• Emigrant Property Holdings Corp.	New York, NY	Delaware	owned by Emigrant Bank (100%)
• Emigrant Commercial Mortgage Corporation	New York, NY	New York	owned by Emigrant Property Holdings Corp. (100%)
• Emigrant Leasing Corp	Elmsford, NY	Delaware	owned by Emigrant Property Holdings Corp. (100%)
• 6 East 43rd Street Corp	New York, NY	New York	owned by Emigrant Property Holdings Corp. (100%)
• Emigrant Realty Finance California, Inc.	New York, NY	Delaware	owned by Emigrant Bank (100%)
• Emigrant Realty Finance, L.L.C.	New York, NY	Delaware	owned by Emigrant Bank (100%), GP Managing Member
• Emigrant Residential, LLC	New York, NY	New York	owned by Emigrant Bank (100%), GP Managing Member
• Fine Art Partners, LLC	New York, NY	Delaware	owned by Emigrant Bank (11.25%), GP Managing Member
• (NSP Finance LLC	New York, NY	Delaware	owned by Emigrant Bank (75%), GP Managing Member
• HPM Partners L.L.C.	New York, NY	Delaware	owned by Emigrant Bank (80.4% of preferred interest), GP Managing Member
• HPM Partners Advisors Alliance LLC	New York, NY	Delaware	owned by HPM Partners LLC (100%), GP Managing Member
• Retirement Plan Advisors an HPM Partners Member Firm LLC	New York, NY	Delaware	owned by HPM Partners LLC (100%), GP Managing Member
• Pension Architects Advisory Group, LLC	New York, NY	Delaware	owned by Retirement Plan Advisors an HPM Partners Member Firm LLC (51%), GP Managing Member
• LI Retained Realty, L.L.C.	New York, NY	New York	owned by Emigrant Bank (100%), GP Managing Member
• MI Retained Realty, LLC	New York, NY	New York	owned by Emigrant Bank (100%), GP Managing Member
• New York Private Finance L.L.C.	New York, NY	Delaware	owned by Emigrant Bank (80%), GP Managing Member
• NYPB&T Fund Advisors, LLC	New York, NY	New York	owned by Emigrant Bank (100%), GP Managing Member
• NYPB&T Sports Access Fund 1, LLC	New York, NY	Delaware	NYPB&T Fund Advisors, L.L.C. is the Manager & Managing Member
• NYPB&T Municipal Advisors, LLC	New York, NY	New York	owned by Emigrant Bank (100%), GP Managing Member

**NEW YORK PRIVATE BANK & TRUST CORPORATION  
 ORGANIZATION CHART  
 AS OF DECEMBER 31, 2013**

<b>NAME</b>	<b>ADDRESS</b>	<b>STATE OF INCORPORATION</b>	<b>OWNERSHIP AND CONTROL RELATIONSHIPS</b>
• Octagon Emigrant Senior Secured 1.0m Trust	New York, NY	Delaware	owned by Emigrant Bank (100%)
• Parkedge Holders, Inc.	New York, NY	New York	owned by Emigrant Bank (100%)
• Retained Realty, Inc.	New York, NY	New York	owned by Emigrant Bank (100%)
• Splashpond, LLC	New York, NY	Delaware	owned by Emigrant Bank (100%), GP Managing Member
• 101 East 170th Street Corp.	New York, NY	New York	owned by Emigrant Bank (100%)
• 6 East 43rd Street Management Corp.	New York, NY	New York	owned by Emigrant Bank (100%)
• 773 Lexington, I, TD	New York, NY	New York	owned by Emigrant Bank (100%)
• Emigrant Microfinance Bank	New York, NY	New York	owned by Emigrant Bancorp, Inc. (100%)
• Galahoto Sports Partners LLC	New York, NY	Delaware	owned by Emigrant Bancorp, Inc. (75%), GP Managing Member
• GSP Securities LLC	New York, NY	Delaware	owned by Galahoto Sports Partners LLC (100%), GP Managing Member
• New York Private Trust Company	Wilmington, DE	Delaware	owned by Emigrant Bancorp, Inc. (100%)



Exhibit C

<u>Self/Spouse</u>	<u>Source</u>	<u>Nature</u>	<u>Category of Amount</u>
Self	Emigrant Savings Bank	Wages	F
Spouse	New York Private Bank & Trust	Interest Income	C
Joint	New York Private Bank & Trust	Interest Income	B
Joint	Emigrant Bank	Interest Income	F
Self	Emigrant Bank	Interest Income	D
Self	Citibank, N.A.	Interest Income	E
Self	Dollar Savings Direct	Interest Income	A
Self	Emigrant Direct.com	Interest Income	A
Self	J.P. Morgan Securities	Interest Income	A
Joint	Bank of New York	Interest Income	A
Joint	UBS Financial Services	Interest Income	A
Self	UBS Financial Services	Interest Income	A
Joint	UBS Financial Services	Dividend Income	A
Self	UBS Financial Services	Dividend Income	A
Joint	Wells Fargo	Dividend Income	A
Spouse	Constantine Cannon, LLP	Partnership Income	A
Spouse	Constantine Cannon, LLP	Interest Income	B
Spouse	Constantine Cannon, LLP	Capital Gains (Losses)	A
Spouse	Exelon Corporation	Dividend Income	A
Self	Milstein Properties	Dividend Income	E
Self	Milstein Properties	Interest Income	F
Self	Milstein Properties	Capital Gains	F
Self	Milstein Properties	Other Income	F
Self	Milstein Properties	Partnership Income	A

Exhibit D

<u>Self/Spouse</u>	<u>Issuing Entity</u>	<u>Type of Security</u>	<u>Percentage of Corporate Stock Owned or Controlled</u>	<u>Category of Market Value</u>
Self	New York Private Bank & Trust	Common Stock	25%	F
Spouse	Exelon Corp	Common Stock		C
Self	FM Leveraged Capital Fund 1	Fund		F
Self	FM Leveraged Capital Fund 2	Fund		F
Self	Friedberg Milstein Private Capital Fund 1, LTD	Fund		F
Joint	Frontier Communications Corp	Common Stock		A
Joint	General Electric Co	Common Stock		A
Joint	Microsoft Corp	Common Stock		B
Joint	Verizon Communications Inc.	Common Stock		A
Joint	Walt Disney Co	Common Stock		A
Joint	Berkshire Hathaway (no New CL B	Common Stock		A
Joint	China Career Aids Corp	Common Stock		A
Joint	Cineplex Odeon Corp	Common Stock		A
Joint	Citadel Broadcasting Corp	Common Stock		A
Joint	Coal Brands Inc	Common Stock		A
Self	US\$ - BMA Money Market Portfolio	Money Market		F
Self	Applied Neurosolutions Inc.	Restricted		A
Self	Callisto Pharmaceuticals Inc.	Restricted		F
Self	Biometrics Security Technology	Restricted		A
Self	Club Regina Resorts Inc.	Restricted		A
Self	Bion Inc.	Restricted		A
Self	Callisto Pharmaceuticals Inc.	Restricted		A
Self	Quanta Vision Inc.	Restricted		A
Self	Quanta Networks Inc.	Restricted		A
Self	Cardima Inc.	Common Stock		A
Self	First Investors Fund Services Group Inc.	Common Stock		B
Self	Applied Neurosolutions Inc.	Common Stock		A
Self	North American Scientific Inc.	Common Stock		A
Self	Milstein Properties	Partnerships		F
Self	Owns stock in various service corporations that protect the family from legal liability but have no significant value (Category of Amount B represents the Aggregate).			B
Self	Co-trustee of the trust that owns Paul Milstein's 50% common stock interest in the New York Private Bank & Trust.			F