



ANDREW M. CUOMO
Governor

**Division of
the Budget**

ROBERT F. MUJICA JR.
Director of the Budget

June 24, 2020

The Honorable Carl E. Heastie
Speaker
New York State Assembly
Legislative Office Building, Room 932
Albany, NY 12248

Dear Speaker Heastie:

This is to notify you that DOB has withheld 20 percent (roughly \$74 million) of the Aid and Incentives for Municipalities (AIM) program payments scheduled through June 25. The withholding is authorized by Chapter 53 of the Laws of 2020 which grants the Budget Director the authority to withhold all or some of specific local aid payments during the fiscal year if the budget is deemed out of balance.

Sincerely,

A handwritten signature in black ink, appearing to read "R. F. Mujica, Jr.", written over a horizontal line.

Robert F. Mujica, Jr.



Division of the Budget

ANDREW M. CUOMO
Governor

ROBERT F. MUJICA JR.
Director of the Budget

SANDRA L. BEATTIE
First Deputy Director

CHARLES WILLIAMS
Deputy Director

July 6, 2020

The Honorable Carl E. Heastie
Speaker
New York State Assembly
Legislative Office Building, Room 932
Albany, NY 12248

Dear Speaker Heastie:

As you know, section 1(f) of Chapter 53 of the Laws of 2020 authorizes the Budget Director to withhold all or some of specific local aid payments during the 2020-21 fiscal year if the 2020-21 budget is deemed unbalanced, and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic. In the event of such withholding, Section 1(f) of Chapter 53 of 2020 requires that the Budget Director notify the President Pro Tempore of the Senate and the Speaker of the Assembly of (i) payments which are expected to be withheld in the upcoming month no later than five days prior to the beginning of such month, and (ii) payments which are actually withheld no later than seven days after such month's end.

In accordance with Section 1(f) of Chapter 53 of the Laws of 2020, you are hereby notified that I have withheld 20 percent of the program payments listed below for the period June 1, 2020 through June 30, 2020. Please note that the attached program payments list only reflect those payments which had statutory payment date(s) on or before June 30, 2020 that were superseded by the withholding authorization established by Section 1(f) of Chapter 53 of the Laws of 2020. The list does not reflect withholdings which were made and authorized independent of the authority granted in Section 1(f) of Chapter 53 of the Laws of 2020.

Sincerely,


Robert F. Mujica, Jr.

Local Assistance Payments Withheld State Operating Funds (in dollars)			
	Payments	20% Withhold	Paid
	1,798,614,483	(359,722,897)	1,438,891,586
City University of New York - Senior Colleges	1,353,437,000	(270,687,400)	1,082,749,600
Bundy Aid	10,538,700	(2,107,740)	8,430,960
Aid to Municipalities with Video Lottery Gaming Facilities (VLT) - Non-Yonkers	9,285,313	(1,857,063)	7,428,250
MTA	46,981,000	(9,396,200)	37,584,800
Non-MTA Downstate Transit Systems - not NYC	1,884,575	(376,915)	1,507,660
Non-MTA Downstate Transit Systems - NYC	2,123,450	(424,690)	1,698,760
Upstate Transit Systems	4,028,250	(805,650)	3,222,600
AIM	<u>370,336,195</u>	<u>(74,067,239)</u>	<u>296,268,956</u>
Buffalo	98,361,870	(19,672,374)	78,689,496
Yonkers	97,448,413	(19,489,683)	77,958,730
Rochester	87,807,021	(17,561,404)	70,245,617
Syracuse	61,800,618	(12,360,124)	49,440,494
Lackawanna	6,248,337	(1,249,667)	4,998,670
Auburn	4,934,426	(986,885)	3,947,541
Watertown	4,619,756	(923,951)	3,695,805
White Plains	3,185,838	(637,168)	2,548,670
Long Beach	3,098,294	(619,659)	2,478,635
Corning	1,438,793	(287,759)	1,151,034
Amsterdam	698,895	(139,779)	559,116
Rensselaer	693,934	(138,787)	555,147



Division of the Budget

ANDREW M. CUOMO
Governor

ROBERT F. MUJICA JR.
Director of the Budget

SANDRA L. BEATTIE
First Deputy Director

CHARLES WILLIAMS
Deputy Director

July 27, 2020

The Honorable Carl E. Heastie
Speaker
New York State Assembly
Legislative Office Building, Room 932
Albany, NY 12248

Dear Speaker Heastie:

As you know, section 1(f) of Chapter 53 of the Laws of 2020 authorizes the Budget Director to withhold all or some of specific local aid payments during the 2020-21 fiscal year if the 2020-21 budget is deemed unbalanced, and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic. In the event of such withholding, Section 1(f) of Chapter 53 of 2020 requires that the Budget Director notify the President Pro Tempore of the Senate and the Speaker of the Assembly of (i) payments which are *expected* to be withheld in the upcoming month no later than five days prior to the beginning of such month, and (ii) payments which are *actually* withheld no later than seven days after such month's end.

In accordance with item (i) above, you are hereby notified that I am expecting to withhold at least 20 percent of statutorily mandated fiscal year 2020-21 local assistance payments until further notice. As required by item (ii) above, I will notify you of local assistance payments which are actually withheld pursuant to Section 1(f) of Chapter 53 of the Laws of 2020 for future months no later than seven days after such month's end.

Sincerely,

A handwritten signature in black ink, appearing to read "R. F. Mujica, Jr.", written over a horizontal line.

Robert F. Mujica, Jr.



ANDREW M. CUOMO
Governor

Division of the Budget

ROBERT F. MUJICA JR.
Director of the Budget

August 7, 2020

The Honorable Carl E. Heastie
Speaker
New York State Assembly
Legislative Office Building, Room 932
Albany, NY 12248

Dear Speaker Heastie:

As you know, section 1(f) of Chapter 53 of the Laws of 2020 authorizes the Budget Director to withhold all or some of specific local aid payments during the 2020-21 fiscal year if the 2020-21 budget is deemed unbalanced, and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic. In the event of such withholding, Section 1(f) of Chapter 53 of 2020 requires that the Budget Director notify the President Pro Tempore of the Senate and the Speaker of the Assembly of (i) payments which are expected to be withheld in the upcoming month no later than five days prior to the beginning of such month, and (ii) payments which are actually withheld no later than seven days after such month's end.

In accordance with Section 1(f) of Chapter 53 of the Laws of 2020, you are hereby notified that I have withheld 20 percent of the program payment listed below for the period July 1, 2020 through July 31, 2020. Please note that the attached program payments list only reflect those payments which had statutory payment date(s) on or before July 31, 2020 that were superseded by the withholding authorization established by Section 1(f) of Chapter 53 of the Laws of 2020. The list does not reflect withholdings which were made and authorized independent of the authority granted in Section 1(f) of Chapter 53 of the Laws of 2020.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Mujica Jr.", written over a horizontal line.

Robert F. Mujica, Jr

Local Assistance Payments Withheld
State Operating Funds
(in dollars)

	Payments	20% Withhold	Paid
	8,700,000	(1,740,000)	6,960,000
School Aid - General Aid (General Support for Public Schools) 2020-21 SY	8,700,000	(1,740,000)	6,960,000



ANDREW M. CUOMO
Governor

Division of the Budget

ROBERT F. MUJICA JR.
Director of the Budget

September 7, 2020

The Honorable Carl E. Heastie
Speaker
New York State Assembly
Legislative Office Building, Room 932
Albany, NY 12248

Dear Speaker Heastie:

As you know, section 1(f) of Chapter 53 of the Laws of 2020 authorizes the Budget Director to withhold all or some of specific local aid payments during the 2020-21 fiscal year if the 2020-21 budget is deemed unbalanced, and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic. In the event of such withholding, Section 1(f) of Chapter 53 of 2020 requires that the Budget Director notify the President Pro Tempore of the Senate and the Speaker of the Assembly of (i) payments which are expected to be withheld in the upcoming month no later than five days prior to the beginning of such month, and (ii) payments which are actually withheld no later than seven days after such month's end.

In accordance with Section 1(f) of Chapter 53 of the Laws of 2020, you are hereby notified that I have withheld 20 percent of the program payments listed below for the period August 1, 2020 through August 31, 2020. Please note that the attached program payments list only reflect those payments which had statutory payment date(s) on or before August 31, 2020 that were superseded by the withholding authorization established by Section 1(f) of Chapter 53 of the Laws of 2020. The list does not reflect withholdings which were made and authorized independent of the authority granted in Section 1(f) of Chapter 53 of the Laws of 2020.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Mujica, Jr.", with a stylized flourish at the end.

Robert F. Mujica, Jr

Local Assistance Payments Withheld
State Operating Funds
(in dollars)

	Payments	20% Withhold	Paid
	628,958,475	(125,791,495)	503,166,980
Excess Cost Aid	573,491,000	(114,698,000)	458,793,000
MTA MMTOA 18-b	45,515,500	(9,103,100)	36,412,400
Upstate Systems GF 18-b	2,804,250	(560,850)	2,243,400
Downstate non-MTA Systems MMTOA 18-b NYC	2,123,450	(424,690)	1,698,760
Downstate non-MTA Systems MMTOA 18-b ROS	1,884,575	(376,915)	1,507,660
MTA GF 18-b	1,465,500	(293,100)	1,172,400
Upstate Systems PTOA 18-b	1,224,000	(244,800)	979,200
Non-MTA Downstate Systems GF 18-B	450,200	(90,040)	360,160



ANDREW M. CUOMO
Governor

Division of the Budget

ROBERT F. MUJICA JR.
Director of the Budget

October 7, 2020

The Honorable Carl E. Heastie
Speaker
New York State Assembly
Legislative Office Building, Room 932
Albany, NY 12248

Dear Speaker Heastie:

As you know, section 1(f) of Chapter 53 of the Laws of 2020 authorizes the Budget Director to withhold all or some of specific local aid payments during the 2020-21 fiscal year if the 2020-21 budget is deemed unbalanced, and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic. In the event of such withholding, Section 1(f) of Chapter 53 of 2020 requires that the Budget Director notify the President Pro Tempore of the Senate and the Speaker of the Assembly of (i) payments which are expected to be withheld in the upcoming month no later than five days prior to the beginning of such month, and (ii) payments which are actually withheld no later than seven days after such month's end.

In accordance with Section 1(f) of Chapter 53 of the Laws of 2020, you are hereby notified that I have withheld 20 percent of the program payments listed below for the period September 1, 2020 through September 31, 2020. Please note that the attached program payments list only reflect those payments which had statutory payment date(s) on or before September 31, 2020 that were superseded by the withholding authorization established by Section 1(f) of Chapter 53 of the Laws of 2020. The list does not reflect withholdings which were made and authorized independent of the authority granted in Section 1(f) of Chapter 53 of the Laws of 2020.

Sincerely,

A handwritten signature in black ink, appearing to read "R. Mujica, Jr.", written over a horizontal line.

Robert F. Mujica, Jr

Local Assistance Payments Withheld
State Operating Funds
(in dollars)

	Payments	20% Withhold	Paid
	617,250,706	(123,450,141)	493,800,565
BOCES Aid	497,961,179	(99,592,236)	398,368,943
Excess Cost Aid	85,249,055	(17,049,811)	68,199,244
September AIM - Cities	20,555,668	(4,111,134)	16,444,534
September AIM - Towns	5,173,925	(1,034,785)	4,139,140
Citizens Empowerment Tax Credit (CETC)	5,001,704	(1,000,341)	4,001,363
September AIM - Villages	3,309,175	(661,835)	2,647,340



Division of the Budget

ANDREW M. CUOMO
Governor

ROBERT F. MUJICA JR.
Director of the Budget

November 6, 2020

The Honorable Carl E. Heastie
Speaker
New York State Assembly
Legislative Office Building, Room 932
Albany, NY 12248

Dear Speaker Heastie:

As you know, section 1(f) of Chapter 53 of the Laws of 2020 authorizes the Budget Director to withhold all or some of specific local aid payments during the 2020-21 fiscal year if the 2020-21 budget is deemed unbalanced, and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic. In the event of such withholding, Section 1(f) of Chapter 53 of 2020 requires that the Budget Director notify the President Pro Tempore of the Senate and the Speaker of the Assembly of (i) payments which are expected to be withheld in the upcoming month no later than five days prior to the beginning of such month, and (ii) payments which are actually withheld no later than seven days after such month's end.

In accordance with Section 1(f) of Chapter 53 of the Laws of 2020, you are hereby notified that I have withheld 20 percent of the program payments listed below for the period October 1, 2020 through October 31, 2020. Please note that the attached program payments list only reflect those payments which had statutory payment date(s) on or before October 31, 2020 that were superseded by the withholding authorization established by Section 1(f) of Chapter 53 of the Laws of 2020. The list does not reflect withholdings which were made and authorized independent of the authority granted in Section 1(f) of Chapter 53 of the Laws of 2020.

Sincerely,

A handwritten signature in blue ink, reading "Robert F. Mujica, Jr.", written in a cursive style.

Robert F. Mujica, Jr

Local Assistance Payments Withheld
State Operating Funds
(in dollars)

	Payments	20% Withhold	Paid
	237,397,276	(47,479,055)	189,918,221
OMH State Aid Letter - Non-Residential - Upstate October 2020 Quarter	54,886,029	(10,977,206)	43,908,823
OMH State Aid Letter Non-Residential - NYC October 2020 Quarter	31,247,161	(6,249,432)	24,997,729
OASAS State Aid Letter - Non-Residential - ROS - October 2020 Quarter	16,322,000	(3,264,000)	13,058,000
OASAS State Aid Letter - Non-Residential - NYC October 2020 Quarter	10,820,000	(2,164,000)	8,656,000
OPWDD State Aid Letter - ROS - October 2020 Quarter	3,189,551	(637,910)	2,551,641
OPWDD State Aid Letter - NYC - October 2020 Quarter	766,239	(153,248)	612,991
City University of New York	101,199,000	(20,239,800)	80,959,200
Bundy Aid	12,295,000	(2,459,000)	9,836,000
October AIM - Cities	6,672,296	(1,334,459)	5,337,837



Division of the Budget

ANDREW M. CUOMO
Governor

ROBERT F. MUJICA JR.
Director of the Budget

December 7, 2020

The Honorable Carl E. Heastie
Speaker
New York State Assembly
Legislative Office Building, Room 932
Albany, NY 12248

Dear Speaker Heastie:

As you know, section 1(f) of Chapter 53 of the Laws of 2020 authorizes the Budget Director to withhold all or some of specific local aid payments during the 2020-21 fiscal year if the 2020-21 budget is deemed unbalanced, and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic. In the event of such withholding, Section 1(f) of Chapter 53 of 2020 requires that the Budget Director notify the President Pro Tempore of the Senate and the Speaker of the Assembly of (i) payments which are expected to be withheld in the upcoming month no later than five days prior to the beginning of such month, and (ii) payments which are actually withheld no later than seven days after such month's end.

In accordance with Section 1(f) of Chapter 53 of the Laws of 2020, you are hereby notified that I have withheld 20 percent of the program payments listed below for the period November 1, 2020 through November 31, 2020. Please note that the attached program payments list only reflect those payments which had statutory payment date(s) on or before November 31, 2020 that were superseded by the withholding authorization established by Section 1(f) of Chapter 53 of the Laws of 2020. The list also includes corrections to October payment amounts reported previously. The list does not reflect withholdings which were made and authorized independent of the authority granted in Section 1(f) of Chapter 53 of the Laws of 2020.

Sincerely,

A handwritten signature in blue ink, appearing to read "R. F. Mujica, Jr.", written over a horizontal line.

Robert F. Mujica, Jr

Local Assistance Payments Withheld
State Operating Funds
(in dollars)

	Payments	20% Withhold	Paid
October Corrected Payments	118,687,765	(23,635,171)	95,052,594
OMH State Aid Letter - Non-Residential - Upstate October 2020 Quarter	55,220,954	(10,977,206)	44,243,748
OMH State Aid Letter Non-Residential - NYC October 2020 Quarter	31,422,145	(6,249,432)	25,172,713
OASAS State Aid Letter - Non-Residential - ROS - October 2020 Quarter	18,662,000	(3,732,000)	14,930,000
OASAS State Aid Letter - Non-Residential - NYC October 2020 Quarter	10,820,000	(2,164,000)	8,656,000
OPWDD State Aid Letter - ROS - October 2020 Quarter	2,562,666	(512,533)	2,050,133
OPWDD State Aid Letter - NYC - October 2020 Quarter	-	-	-
November Payments	57,334,497	(11,466,899)	45,867,598
MTA MMTOA 18-b	45,515,500	(9,103,100)	36,412,400
Upstate Systems GF 18-b	2,804,250	(560,850)	2,243,400
Downstate non-MTA Systems MMTOA 18-b NYC	2,123,450	(424,690)	1,698,760
Downstate non-MTA Systems MMTOA 18-b ROS	1,884,575	(376,915)	1,507,660
Small Government Assistance	1,867,022	(373,404)	1,493,618
MTA GF 18-b	1,465,500	(293,100)	1,172,400
Upstate Systems PTOA 18-b	1,224,000	(244,800)	979,200
Downstate non-MTA Systems GF 18-b NYC	261,525	(52,305)	209,220
Downstate non-MTA Systems GF 18-b ROS	188,675	(37,735)	150,940



Division of the Budget

ANDREW M. CUOMO
Governor

ROBERT F. MUJICA JR.
Director of the Budget

January 7, 2021

The Honorable Carl E. Heastie
Speaker
New York State Assembly
Legislative Office Building, Room 932
Albany, NY 12248

Dear Speaker Heastie:

As you know, section 1(f) of Chapter 53 of the Laws of 2020 authorizes the Budget Director to withhold all or some of specific local aid payments during the 2020-21 fiscal year if the 2020-21 budget is deemed unbalanced, and if the Budget Director deems, in his sole discretion, that such withholding is necessary to respond to the direct and indirect economic, financial, and social effects of the COVID-19 pandemic. In the event of such withholding, Section 1(f) of Chapter 53 of 2020 requires that the Budget Director notify the President Pro Tempore of the Senate and the Speaker of the Assembly of (i) payments which are expected to be withheld in the upcoming month no later than five days prior to the beginning of such month, and (ii) payments which are actually withheld no later than seven days after such month's end.

In accordance with Section 1(f) of Chapter 53 of the Laws of 2020, you are hereby notified that I have withheld 20 percent of the program payments listed below for the period December 1, 2020 through December 31, 2020. Please note that the attached program payments list only reflect those payments which had statutory payment date(s) on or before December 31, 2020 that were superseded by the withholding authorization established by Section 1(f) of Chapter 53 of the Laws of 2020. The list does not reflect withholdings which were made and authorized independent of the authority granted in Section 1(f) of Chapter 53 of the Laws of 2020.

Sincerely,

A handwritten signature in blue ink, appearing to read "R. F. Mujica, Jr.", written over a horizontal line.

Robert F. Mujica, Jr

Local Assistance Payments Withheld
State Operating Funds
(in dollars)

	Payments	20% Withhold	Paid
	249,317,153	(49,863,431)	197,186,152
December AIM - Cities	186,023,653	(37,204,731)	148,818,922
OASAS State Aid Letters ¹	11,102,150	(2,220,430)	6,614,150
MTA MMTOA 18-b	45,515,500	(9,103,100)	36,412,400
Downstate non-MTA Systems MMTOA 18-b NYC	2,123,450	(424,690)	1,698,760
Downstate non-MTA Systems MMTOA 18-b ROS	1,884,575	(376,915)	1,507,660
MTA GF 18-b	1,465,500	(293,100)	1,172,400
Upstate Systems GF 18-b	530,625	(106,125)	424,500
Downstate non-MTA GF 18-b NYC	261,525	(52,305)	209,220
Upstate PTOA 18-b	221,500	(44,300)	177,200
Downstate non-MTA GF 18-b ROS	188,675	(37,735)	150,940

¹ The actual OASAS payment is lower due to claims adjustments and reconciliations of prior advances.